

## **DORMANT TRADING ACCOUNT POLICY IN RELIGARE COMMODITIES LTD. (RCL)**

### **A. DEFINITION: The following accounts shall be categorized as Dormant Accounts:**

Trading account in which no transaction has been carried out for a period of 1(One) Year shall be classified as a Dormant Account.

### **B. TREATMENT OF DORMANT ACCOUNTS:**

When a Trading Account becomes a Dormant Account, the said account shall be locked / frozen for any further trading orders. However, it is clarified that the credits in the said account due to any corporate action like dividend, bonus, split etc. owing to the securities / ledger balances of the client shall be allowed. It is also clarified that any transaction charges, DP/COMTRACK/Warehouse charges or any other taxes or levies will continue to be debited to the Dormant Account.

### **C. REACTIVATION OF DORMANT ACCOUNTS**

Dormant account can be reactivated once all KYC formalities are completed by submitting "KYC change request Form" duly filling both Appendix "A" and Appendix "B" with supporting documents.

### **D. RETURN OF ASSETS**

Under the prevailing trading account settlement process , the balances if any, are settled / made over to clients as per option of quarterly/ monthly/ bill to bill settlement exercised by the client and accordingly the same applies to balances if any lying in the trading accounts which may get categorized as dormant.