


Religare Broking Limited

Club 125 (Tower A) A-3 4 & 5, Sector-125,
Noida, Uttar Pradesh-201301
CIN No.: U65999DL2016PLC314319
NSDL DP ID : IN301774

Note: To be executed in the presence of a Public Notary / Gazetted Officer

Bond of Indemnity to be furnished jointly by all Legal Heir(s) including the Claimant(s)

(To be submitted on Non-judicial Stamp Paper of appropriate value)

[For Transmission of Securities on death of Sole Securities' Holder, where no nomination has been registered]

I/We do hereby solemnly affirm and state on oath as follows :-

That Mr. /Ms. _____ Name of the deceased holder was holding the following securities :-

Name of the Company	ISIN	Quantity	Client ID	DP Name	DP ID
1)					
2)					
3)					
4)					

That the aforesaid deceased holder died intestate on _____, without registering any nominee, leaving behind him/her the following persons as the only surviving legal heirs, according to the laws of intestate succession applicable to him/her by which he/she was governed at the time of his/her death.

Name of the Legal Heir(s) / Claimant(s)	Address and contact details	Age	Relationship with the Deceased
1)			
2)			
3)			
4)			

OR

That the aforesaid deceased holder died on _____, without registering any nominee, leaving behind him/her the following persons as the only surviving legal heirs, according to the laws of testamentary succession.

Name of the Legal Heir(s) / Claimant(s)	Address and contact details	Age	Relationship with the Deceased
1)			
2)			
3)			

Therefore, I/We, the Legal Heir(s)/Claimant(s) and deponent(s) herein has/have, approached _____ (DP Religare Broking Limited) with a request to transmit the aforesaid securities in the name of the undersigned Mr. /Ms. _____ [Name(s) of the legal heir(s)/claimant(s)] on my/our behalf, without insisting on production of a Succession Certificate/ Probate of Will / Letter of Administration or any Court order, for which we execute an indemnity as is herein contained and on relying on the information herein given by us, believing the same to be true.

In consideration therefore of my/our request to transfer/transmit the above said securities to the name of the undersigned Mr. /Ms. [Name(s) of the legal heir(s)/claimant(s)] #.

I/We hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defended, harmless, [DP, Religare Broking Limited] and its successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which they may suffer and/or incur by reason of transferring the said securities as herein above mentioned, at my/our request to the undersigned Mr./Ms. _____ [Name(s) of the legal heir(s)/claimant(s)] #, without insisting on production of a Succession Certificate / Probate of Will / Letter of Administration or any Court order.

IN WITNESS WHEREOF the said 1) Mr. /Ms. _____ (Name and signature of the witness) _____

And 2) Mr. /Ms. _____ Name and signature of the witness _____ #, have hereunto set their respective hands and seals this day of _____. Signed and delivered by the said legal heir/s.

Name of the Legal Heirs	Signature of the Legal Heirs
1)	X
2)	X
3)	X

(*) = Name of the deceased security holder (#) = Name of the claimant/s

Signed before me

at: _____

on: _____

Signature of Notary

Official stamp & seal of the Notary & Regn. No.: