

TRADING SEGMENT ACTIVATION FORM

To,
Religare Broking Limited
A-3/4/5, Club 125 (Tower-A), Sector-125, Noida-201301 (U.P.)

SEBI Registration No. and Date:


SEBI Regn No. INZ000174330-May 03, 2018 | **NSE CM, F&O, CD, TM Code:** 06537 Clearing Member (F&O) No. M50235 | **BSE CM, F&O, CD, Commodity Derivatives Clearing** No: 3004 | **MCX Commodity Derivatives TCM Code:** 56560 | **NCDEX Commodity Derivatives TCM Code:** 01276 | **AMFI ARN No.** 139809 | **NSDL:** DP ID: IN 301774 | SEBI Regn. No: IN-DP-385-2018 | CDSL DP ID: 30200 | SEBI Regn. No: IN-DP-385-2018 – October 16, 2018

Sub: Modification in the Common details/additional details mentioned in the KYC.

- I/we _____ having PAN Number _____ registered with you as a client with client code _____ wish to modify/add Trading Preference and or activate segment to those mentioned by me/us in the KYC forms submitted to you at the time of my enrolment or at any time there after.
- I wish to add/activate the following segments in my trading account:

TRADING PREFERENCES

* Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchanges	NSE & BSE				MCX & NCDEX
All Segments	Cash / Mutual Fund	F&O	Currency	Debt	Commodity Derivatives
 Please sign here to select all the segments					

If you do not wish to trade in any of segments / Mutual Fund, please mention here _____

- This letter is in addition to the authorization/preference given earlier by me/us to you modifying my trading preferences.
- I/We understand that the brokerage applied to my accounting any of the new segments/new exchanges, will remain the same as currently offered to me in my trading account for that segments incase of any changes, brokerage specified below to be charged in the specified segment -

BROKERAGE (Subject to Change)

Equity Cash Segment (NSE/BSE)

Brokerage	in%(Subject to Max. of 2.5%)	Minimum Paise (Subject to Max. 25 paise)	One Side/BothSide
Delivery Based			
Daily Square up			

Equity Derivatives Segment (NSE/BSE)

Brokerage	in%(subject to Max. 2.5%/ ₹100/- per Lot)	Minimum Paise/Per Lot	One Side/BothSide
Future			
Option			

Currency Derivatives & Interest Rate Futures Segment (NSE/BSE)

Brokerage	Brokerage per Lot (in ₹)	One Side/BothSide
Future		
Option		

Client Signature:



Commodity Derivatives Segment (BSE/MCX/NCDEX)

BROKERAGE SLAB:			
Exchange and Segment	Brokerage Slab in %	Minimum Paise/ Per Lot	<input type="checkbox"/> One Side <input type="checkbox"/> Both Side
BSE, MCX and NCDEX - Future			
Exchange and Segment	in % (subject to max. 2.5% of premium amount or Rs.250/- per lot, whichever is higher)	Minimum Paise/ Per Lot	<input type="checkbox"/> One Side <input type="checkbox"/> Both Side
MCX-Option			
Exchange and Segment	in % (subject to max. 2.5% of premium amount or Rs.100/- per lot, whichever is higher)	Minimum Paise/ Per Lot	<input type="checkbox"/> One Side <input type="checkbox"/> Both Side
NCDEX-Option			

Note:

If client already running under any margin/upfront plan, the derivative brokerage for client will be as per the running margin/upfront plan only. if present brokerage is applied on both side then brokerage on currency derivative can't be charged one side.

Capital Market:- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on website : www.religareonline.com

I/We here by declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes there in immediately.

Commodity Derivative:- I have read and understood and received the documents containing Rights and Obligation of Member, Authorized Persons and Clients as prescribed by SEBI and Commodity Exchanges, Risk Disclosure Document, Additional Risk Disclosure Document for options trading and Guidance Note- Do's AND Don'ts for the clients, Policies & Procedure Document

I understand that the Member-Client relationship document executed RBL client if any is construed as an relationship document for respective Segment of Exchange opted above.

Client Signature:



ADDITIONAL INFORMATION RELATED TO TRADING ACCOUNT

Father's / Husband Name		
Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Transgender	Marital Status <input type="checkbox"/> Single <input type="checkbox"/> Married	Date of Birth DD/MM/YYYY
Gross Annual Income Details (please specify)	Income Range per annum <input type="checkbox"/> Below ₹1 Lac <input type="checkbox"/> ₹1-5 Lac <input type="checkbox"/> ₹5-10 Lac <input type="checkbox"/> ₹10-25 Lac <input type="checkbox"/> More than ₹25 Lac OR Net-worth Amount (₹).....As on (date) DD/MM/YYYY (Net worth should not be older than 1 year)	
Occupation (Please Specify)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others.....	
Category (Please Specify)	<input type="checkbox"/> Farmers/FPOs <input type="checkbox"/> Value chain participants (VCPs) <input type="checkbox"/> Proprietary traders <input type="checkbox"/> Domestic financial institutional investors <input type="checkbox"/> Foreign participants <input type="checkbox"/> Others Note: If you have ticked category except "Others", please fill the declaration for commodities given on Appendix A.	
G.S.T. Registration Detail (Optional)	GST Registration Number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	State <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

List of financial documents acceptable (any one) for trading in Derivative segments:

INDIVIDUAL CLIENT (Please tick, whichever provided)	CORPORATE CLIENT (Please tick, whichever provided)
Copy of ITR Acknowledgement (for last financial year) <input type="checkbox"/>	Copy of Annual Accounts (for last two years) <input type="checkbox"/>
In case of salary income – Salary slip, copy of Form 16 (last FY) <input type="checkbox"/>	Net Worth Certificate (Certified by CA) (Net worth should not be older than 1 year) <input type="checkbox"/>
Any other relevant documents substantiating ownership of assets <input type="checkbox"/>	Copy of ITR Acknowledgement (for last financial year) <input type="checkbox"/>
Bank account statement for last 6 months <input type="checkbox"/>	Copy of demat account holding statement (not older than 3 months) <input type="checkbox"/>
Copy of demat account holding statement (not older than 3 months) <input type="checkbox"/>	

*** Board Resolution: Mandatory for Corporate clients**

Client Signature:



For Office use only

	Employee Name	Employee ID	Signature
Currency segment RM			
Currency segment Dealer			
Commodity segment RM			
Commodity segment Dealer			

CATEGORIES

- Farmers/FPOs: It includes participants such as farmers, farmers' cooperatives, Farmers Producers Organisations (FPOs) and such entities of like nature.
- Value chain participants (VCPs): It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Producers, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs.
- Proprietary traders: It includes the members of stock exchanges trading in their proprietary account.
- Domestic financial institutional investors: It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
- Foreign participants: It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
- Others: All other participants which cannot be classified in the above categories.